

**FORM 3: APPLICATION FORM FOR MEMBERS OF A GOVERNING BODY, SENIOR MANAGERS, OR OVERSIGHT COMMITTEE MEMBERS OF A BENCHMARK ADMINISTRATOR**

Application form to be completed in respect of a member of governing body, senior manager, or oversight committee member of a benchmark administrator.

**(to be completed by each individual)**

<b>1. MEMBER DETAILS: GOVERNING BODY, SENIOR MANAGER OR OVERSIGHT COMMITTEE</b>	
1.1 Surname and title:	
1.2 Full first name(s):	
1.3 Any previous surname(s) or first name(s):	
1.4 Indicate the proposed position to be held, e.g. member of governing body or managing member of governing body:	
1.5 Effective date of appointment:	
1.6 Residential address:	
1.8 Postal address:	
1.9 Telephone (h) number:	
1.10 Telephone (c) number:	
1.11 Telephone (w) number:	
1.12 Email address (personal):	
1.13 Email address (work):	
<b>2. NATIONALITY</b>	
2.1 Date of birth:	
2.2 Place of birth:	
2.3 Identity document number (for South African nationals)	
2.4 Passport number: (for non-South African nationals)	
2.5 Expiry date:	
2.6 Country of issue:	

<b>3. QUALIFICATION DETAILS</b>			
	<b>(1)</b>	<b>(2)</b>	<b>(3)</b>
3.1	Qualification details:		
3.2	Institution name:		
3.3	Date obtained:		
<b>4. GENERAL DETAILS IN RESPECT OF AN INDIVIDUAL</b>			
4.1	State in what capacity you are completing this document, i.e. as a current or prospective member of the governing body (executive or non-executive), oversight committee or senior manager. State full job title and describe the particular duties and responsibilities.		
4.2	Record of investments and significant shareholding history (including indirect holdings) over at least the last five years (including those holdings which provided you a significant influence over the operations and affairs of the entity). This also includes investment records of any immediate family, spouse, children, and parents.		
<b>5. SPECIFIC TEST TO ASSESS FITNESS AND PROPRIETY</b>		<b>YES</b>	<b>NO</b>
(if the answer to a question is 'yes' please provide details with proper referencing)			
5.1	Have you at any time prior to the date of application been found guilty in any civil or criminal proceedings by a court of law (whether in the Republic of South Africa or elsewhere) of having acted fraudulently, dishonestly, unprofessionally, dishonourably or in breach of a fiduciary duty?		
5.2	Have you at any time prior to the date of application been found guilty by any professional or financial services industry body (whether in the Republic of South Africa or elsewhere), of an act of dishonesty, negligence, incompetence or mismanagement?		
5.3	Have you at any time prior to the date of application been denied membership of any body referred to in question		

	5.2 above on account of an act of dishonesty, negligence, incompetence or mismanagement?		
5.4	Have you at any time prior to the date of application been found guilty by any regulatory or supervisory body (whether in the Republic of South Africa or elsewhere) on account of an act of dishonesty, negligence, incompetence or mismanagement?		
5.5	Have you at any time prior to the date of application had your authorisation to carry on business refused, suspended or withdrawn by any such body on account of an act of dishonesty, negligence, incompetence or mismanagement?		
5.6	Have you at any time prior to the date of application had any license granted by any regulatory or supervisory body referred to questions 5.4 and 5.5 above, suspended or withdrawn by such body on account of an act of dishonesty, negligence, incompetence or mismanagement?		
5.7	Have you at any time prior to the date of application been disqualified or prohibited by any court of law (whether in the Republic of South Africa or elsewhere) from taking part in the management of any company or other statutorily created, recognised or regulated body, irrespective whether such disqualification has since been lifted or not?		
5.8	Have you ever been or are you currently an unrehabilitated insolvent or subject to debt review as contemplated by the National Credit Act, 2005 (Act No. 34 of 2005)?		
5.9	Have you ever made any arrangements or composition with your creditors, had your assets sequestrated, or been involved in proceedings relating to these?		
5.10.	Have you ever been or are you currently subject to any judgment debt or award that remains outstanding or has not been satisfied within a reasonable period?		
5.11	Have you ever been a senior officer or member of a governing body of a company or a shareholder of a		

company or been in a position to exercise influence in the company that:		
a. Has been the subject of any adverse judgment or award, in the Republic of South Africa or elsewhere, which remains outstanding or was not satisfied within a reasonable period?		
b. Has, in the Republic of South Africa or elsewhere, made any arrangements or composition with its creditors, filed for business rescue, had assets repossessed or liquidated, or been involved in proceedings relating to any of the foregoing?		
<b>6. SUPPORTING DOCUMENTS TO BE ATTACHED</b>	<b>YES</b>	<b>NO</b>
6.1 Identity Document or Passport Document (attach a certified copy).		
6.2 Completed Personal Credential Verification Forms for purposes of the background screening of each member of the governing body, senior manager or oversight committee member. The forms are obtainable on application.		
6.3 Full Curriculum Vitae.		
6.4 Academic certificates (attach certified copies).		